



ARIZONA DEPARTMENT OF HOMELAND SECURITY

FFY 2017 Homeland Security
Grant **I**nformation **S**eminar
January 2017

Seminar Objectives

- Eligibility
- RAC Overview
- Funding History
- THIRA/SPR Update
- Training/Exercise Update
- Grant Life Cycle
- FFY 2017 Timeline
- Application Review



3 KILLED, AT LEAST 133 INJURED IN MARATHON BLASTS

Explosions transform finish line into chaos
After the blasts, dozens of victims lay in the street, some unconscious, some grievously injured.

How the Boston Marathon bombings unfolded
Two explosions near the finish line killed at least three people and injured at least 125, 23 minutes ago

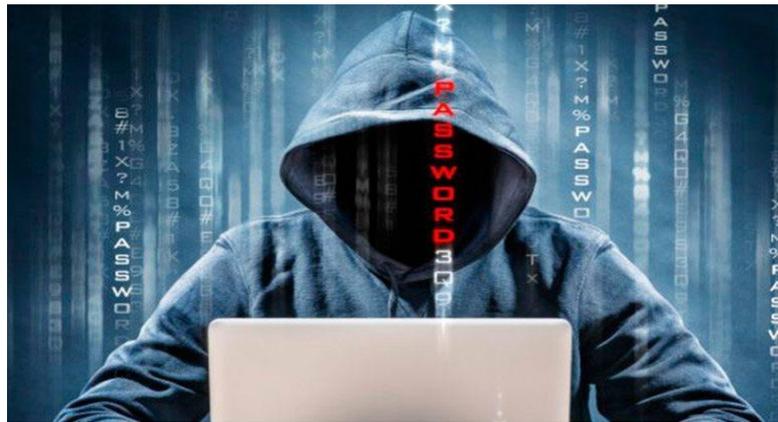
Security at a spectator event with no doors
Public safety officials were on high alert, but no major flaws can ever be totally secure. 0:00 am



Police officers with their guns drawn after the first explosion heard the second blast down the street.



The SHSGP assists state, Tribal and local preparedness activities that address high-priority preparedness gaps across all core capabilities where a nexus to terrorism exists.



Applicant Eligibility

Eligible

Local units of government

- State government agencies, municipal law enforcement and fire departments
- Fire districts
- County agencies
- Tribes

Not Eligible

- Nonprofit entities
- For-profit businesses

2017 Applications

Deadline for
submitting 2017
SHSGP/UASI
grant applications



February 2017					
Mon	Tue	Wed	Thu	Fri	Sat
		1	2	3	4
6	7	8	9	10	11
13	14	15	16	17	18
20	21	22	23	24	25

The date 17 is circled in red with the text "DUE DATE" written in red inside the circle.

REGIONAL ADVISORY COUNCILS

Regional Advisory Councils

ARS 41-4258

- 5 regions
- 14 members/Council
- Meet 3-4 times/year

Agendas are posted on AZDOHS and ADOA websites

- <http://www.azdohs.gov/Councils/MeetingNotices.asp>
- <https://publicmeetings.az.gov/website>

Meetings ARE open to the public—you are encouraged to attend

Funding recommendations to the Director



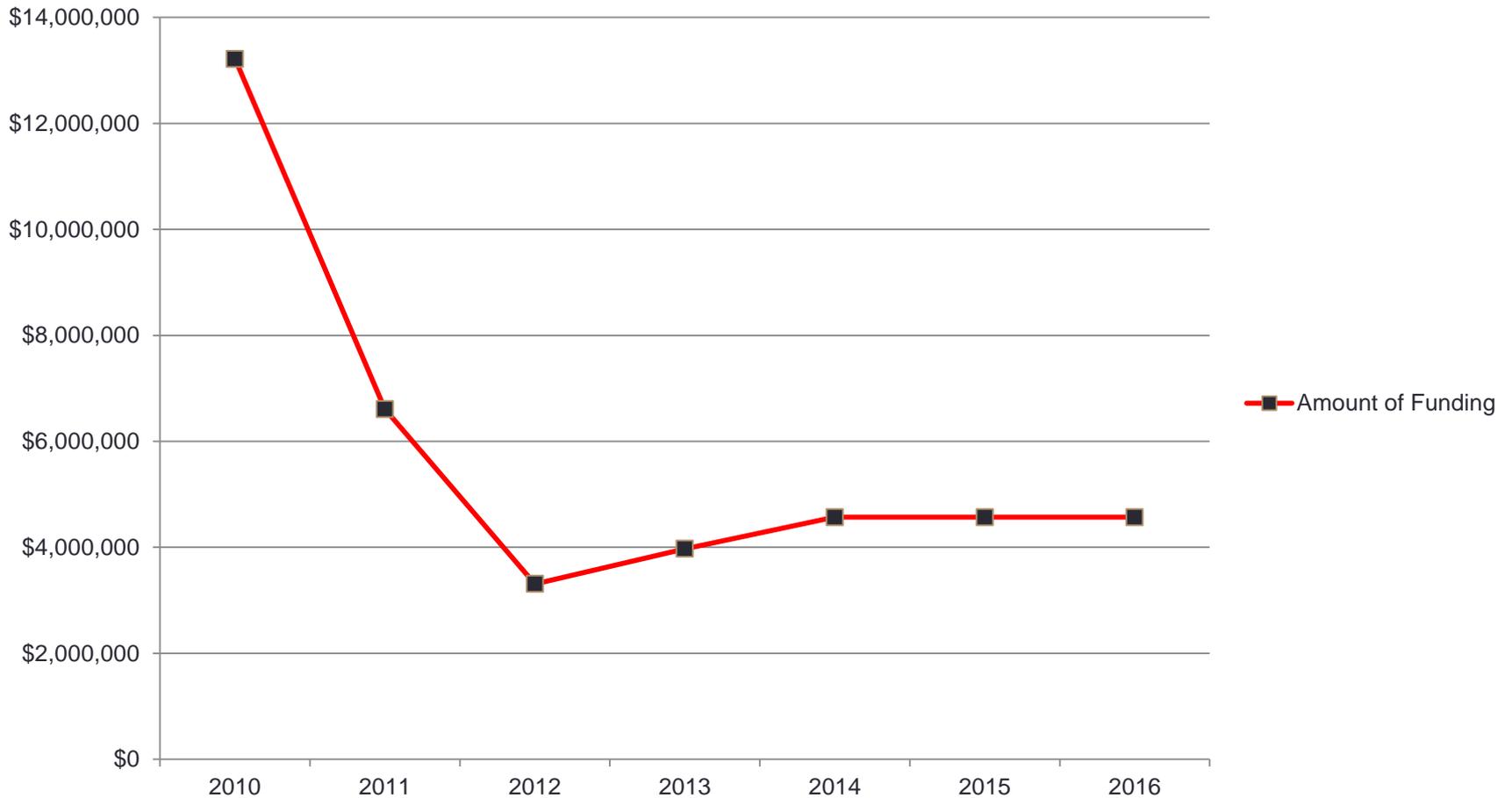
FUNDING HISTORY

History of State Homeland Security Grant Program (SHSGP) Funding

- FFY 2010 - FFY 2016
 - Over \$172M in requests
 - 1,165 projects requested
 - Awarded close to \$40M in local SHSGP funds
 - Funded over 600 projects either fully or partially
- Currently expending 99%+ of all funds within performance period

Funding History

Amount of SHSGP Funding by Federal Fiscal Year

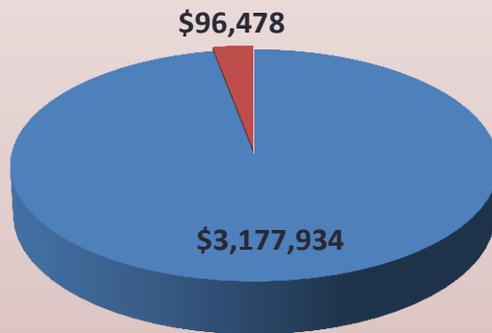


FFY13 & FFY14 Regional SHSGP Funding and Deobligations

■ FFY 2013 Regional Allocations

97% Drawdown Rate

■ FFY 2013 Deobligation



■ FFY 2014 Regional Allocations

99% Drawdown Rate

■ FFY 2014 Deobligation



Funding and Deobligations

Top 3 Reasons for Deobligations

- Price fluctuation during time period between application and award/execution of award
- Did not obtain price quote during application phase
- Needs changed

FFY16 Regional SHSGP Allocations

Region	Allocation	Number of Projects Submitted	Number of Funded Projects
Central	\$1,489,410	39	22
East	\$396,559	10	7
North	\$354,223	30	15
South	\$958,672	29	17
West	\$455,534	39	23

POP QUIZ



- When are applications due?
 - Friday, February 17, 2017 by 5:00pm
- Are RAC meetings open meetings?
 - Yes, and you are encouraged to attend
- Which month(s) do RACs make their initial funding recommendations?
 - March/April
- What is one reason stakeholders deobligate funds?
 - Using outdated quotes when submitting applications

THREAT HAZARD
IDENTIFICATION RISK
ASSESSMENT (THIRA)/STATE
PREPAREDNESS REPORT
(SPR) Update

THIRA/SPR



THIRA/SPR

- Multi-step process to arrive at the SPR
- 5 Mission Areas
 - Prevent, Protect, Mitigate, Respond and Recover
- 32 Core Capabilities
- Requirement for states/UASIs to receive federal grant funds
- Due by 12/31 each year
- Access via the AZDOHS website
 - Register for an account here:
<https://azdohsgrants.az.gov/applicant/register>

32 CORE CAPABILITIES

PREVENT

Planning

Public Information and Warning

Operational Coordination

Forensics and Attribution

Intelligence and Information Sharing

Interdiction and Disruption

Screening, Search and Detection

PROTECT

Planning

Public Information and Warning

Operational Coordination

Access Control and Identity Verification

Cybersecurity

Intelligence and Information Sharing

Interdiction and Disruption

Physical Protective Measures

Risk Management for Protection Programs and Activities

Screening, Search and Detection

Supply Chain Integrity and Security

MITIGATE

Planning

Public Information and Warning

Operational Coordination

Community Resilience

Long-Term Vulnerability Reduction

Risk and Disaster Resilience Assessment

Threats and Hazard Identification

RESPOND

Planning

Public Information and Warning

Operational Coordination

Critical Transportation

Environmental Response/Health and Safety

Fatality Management Services

Fire Management and Suppression

Logistics and Supply Chain Management

Infrastructure Systems

Mass Care Services

Mass Search and Rescue Operations

On-Scene Security, Protection, and Law Enforcement

Operational Communications

Public Health, Healthcare, and Emergency Medical Services

Situational Assessment

RECOVER

Planning

Public Information and Warning

Operational Coordination

Economic Recovery

Health and Social Services

Infrastructure Systems

Housing

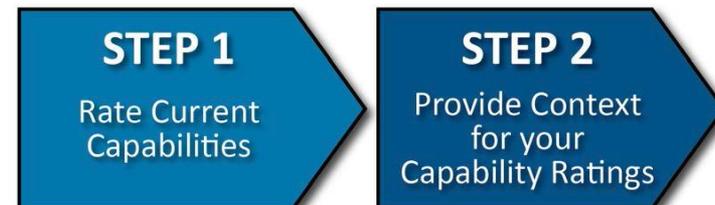
Natural and Cultural Resources

THIRA/SPR

THIRA Steps



SPR Steps



THIRA/SPR Rating Definitions

Planning

- 1 - No plan/annexes exist
- 2 - Some plans/annexes exist
- 3 - Plans/annexes are complete but require update
- 4 - Plans/annexes are complete and have been updated within five years
- 5 - Plans/ annexes are complete, up to date, and verified through either exercises or real world events
- 6 - Planning is not relevant for this capability

Equipment

- 1 - 0-20% of required equipment exists
- 2 - 21-40% of required equipment exists
- 3 - 41-60% of required equipment exists
- 4 - 61-80% of required equipment exists
- 5 - 81-100% of required equipment exists
- 6 - Equipment is not relevant for this capability

Training

- 1 - 0-20% of required training exists
- 2 - 21-40% of required training exists
- 3 - 41-60% of required training exists
- 4 - 61-80% of required training exists
- 5 - 81-100% of required training exists
- 6 - Training is not relevant for this capability

THIRA/SPR Examples

Operational Communications

Definition: Ensure the capacity for timely communications in support of security, situational awareness, and operations by any and all means available, among and between affected communities in the impact area and all response forces.

Capability Target(s)	Within 1 hour, establish interoperable communications between responders to ensure clear communication.	
POETE	SPR Capability Ratings	Functional Area Gaps
Planning	4	<ul style="list-style-type: none"> • Communication between responders and the affected population • Data communications • Interoperable communications between responders • Re-establishing communications infrastructure • Re-establishing critical information networks • Voice communications • Other Functional Area(s) • International 9-1-1 issue along US/MX border • DPS digital microwave network

THIRA/SPR Examples

Operational Communications

Definition: Ensure the capacity for timely communications in support of security, situational awareness, and operations by any and all means available, among and between affected communities in the impact area and all response forces.

Capability Target(s)	Within 1 hour, establish interoperable communications between responders to ensure clear communication.	
POETE	SPR Capability Ratings	Functional Area Gaps
Equipment	4	<ul style="list-style-type: none"> • Communication between responders and the affected population • Data communications • Interoperable communications between responders • Re-establishing communications infrastructure • Re-establishing critical information networks • Voice communications • Other Functional Area(s) • International 9-1-1 issue along US/MX border • DPS digital microwave network
Training	4	<ul style="list-style-type: none"> • Communication between responders and the affected population • Data communications • Interoperable communications between responders • Re-establishing communications infrastructure • Re-establishing critical information networks • Voice communications • Other Functional Area(s) • International 9-1-1 issue along US/MX border • DPS digital microwave network

THIRA/SPR

Notice of Funding Opportunity (NOFO)

Required to align projects with Core Capabilities as outlined in the THIRA and SPR

US DHS will review for **feasibility** and **effectiveness**

Identify at least one core capability gap and explain **HOW** the project closes the gap

POP QUIZ



- When are applications due?
 - Friday, February 17, 2017 by 5:00pm
- True or False: All projects must align with a core capability and address at least one gap?
 - TRUE
- How many Core Capabilities are there?
 - 32
- How can you access the SPR?
 - Online only. Must log-in to access. Use your existing username/password. New user: follow online directions to register.

TRAINING AND EXERCISE OVERVIEW -DEMA

Kathy Hassett-
Training Branch
Manager

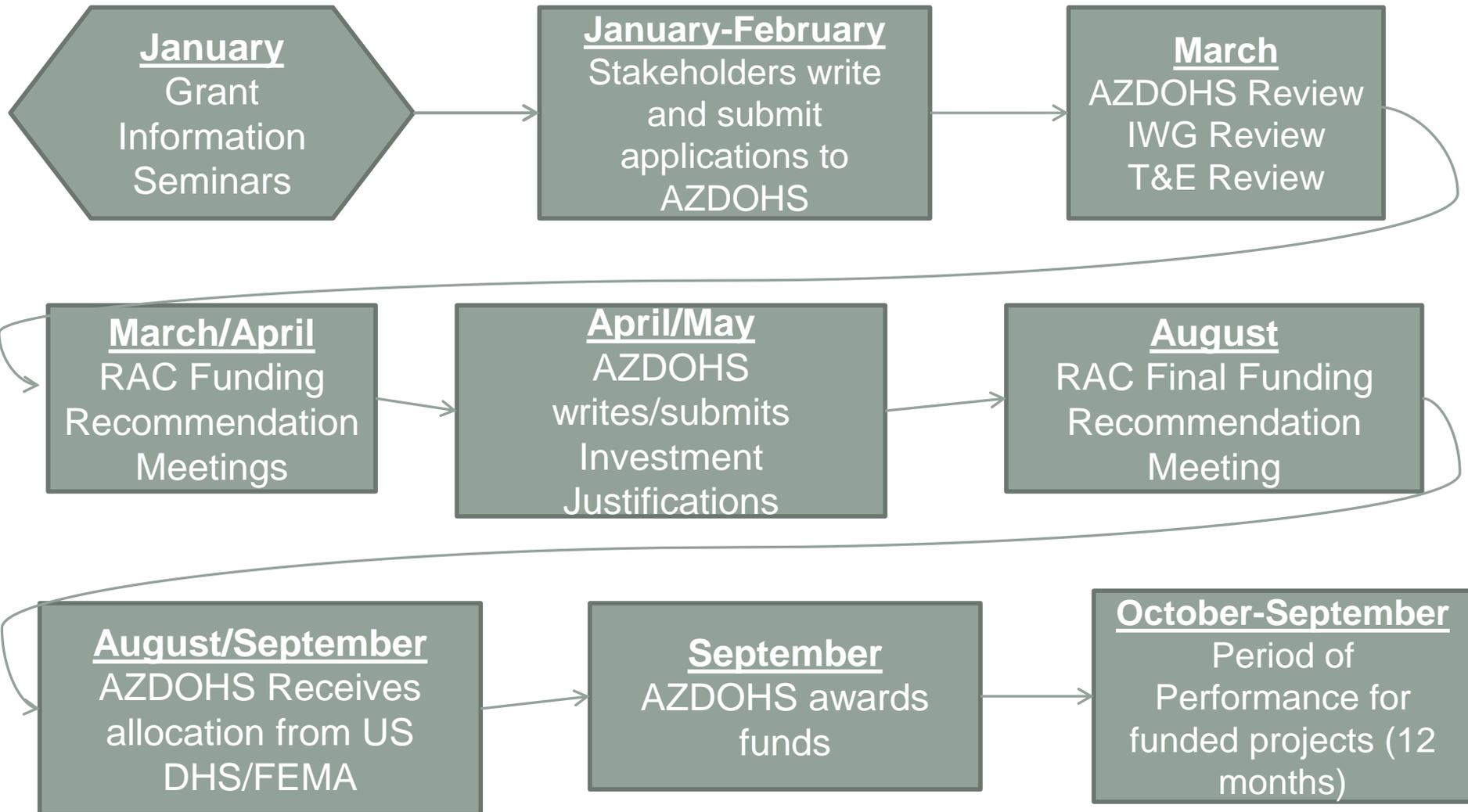


Nichole Forston-
State Exercise
Coordinator



GRANT LIFE CYCLE

Lifecycle of a Project - Phase 1



Lifecycle of a Project - Phase 2

September
Previous year's
12-month
performance
period ends

November
Final
reimbursements
are submitted and
funds are de-
obligated

December
RACs meet to
reallocate funds to
current grant year's
partially/unfunded
projects

January
Reallocated project
period of performance
begins; new funding
year's GIS take place

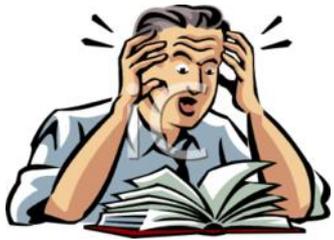


2017 TIMELINE & APPLICATION REVIEW

FFY 2017 Homeland Security Grant Process
State Homeland Security Grant Program (SHSGP) and STATE AGENCIES
***Tentative**



Most people think:



What should occur:



Application Considerations

- Applications must be submitted for terrorism/homeland security-related needs
- Applications must align with a Core Capability and identify at least one gap
- Focus on sustaining current capabilities rather than creating new capabilities
- If the project is not recommended for initial funding, it may be funded with reallocation funds

Application Update - Changes

UPDATED SECTIONS

Project Information

- More **DETAIL** as to what you are requesting and desired accomplishments

Risk Profile/Project Need

- More emphasis on **HOW** the project will address the terrorism threat in area

Partial Funding

- Require detailed information of the funding priorities
 - Emphasis on **quantities** (if equipment)
 - Emphasis on **costs of items**

Application Update - Changes

CORE CAPABILITIES (I.E. TIE TO THE SPR)

Projects **must**:

Align with at least one of the Core Capabilities

- Example: Mass Care Services
- Example: Operational Communications

Bridge at least ONE gap in the SPR

- Example: Family reunification
- Example: Interoperable communications between first responders

Clearly demonstrate **how** the project will support selected gaps

- **Failure to sufficiently answer this question may result in your application being disqualified from receiving funding.**

Application Update - Changes

Must answer **how** the core capability/gaps/project were identified as being a need

- **Real world event:** you must describe in detail the event and how it contributed to the decision to fill the identified gap
- **Exercise:** you must describe the exercise, including when it took place, summary of participants, what kind of exercise it was and provide a summary of the findings/gaps that were identified.
 - Must email a copy of the after action report/implementation plan to strategic planner upon submission of application

Factors Affecting Allowability of Costs

Allowable

- AEL
- Authorized by law or regulation

Allocable

- Falls into POETE
- CFRs
- Within scope of the grant

Reasonable

- Does not exceed what a prudent person would incur in the circumstance

Necessary

- A cost that is required for proper and efficient performance of the grant

Funding Parameters/Guidelines

RAC funding guidelines

- <https://azdohsgrants.az.gov/fffy-2017-grant-information>

Scoring Rubric

- 2 CFR 200.204 Review of Merit of Proposals

12-month performance period

Equipment Request Item Description

- **Do not** simply copy the information from the authorized equipment list (AEL) description-please be specific in the description of your equipment requests
- **Do not** use brand names/model numbers
 - Ex: Motorola; APX 7500
- Contact Michael Stidham for equipment/AEL related questions. Michael Stidham: 602.542.7041 or mstidham@azdohs.gov

Funding Parameters/Guidelines

Radios must:

- Comply with SAFECOM Guidance
- Support SCIP Initiatives
- Be P-25 Capable
- Be Narrowband compliant
- Have a minimum of 48 channels
- Be programmed with the Arizona State Interoperable Priority Programming Guide
- Comply with the LMR Minimum Radio Standards
- For additional information contact the SWIC, Jeremy Knoll at 602.223.2106 or SWIC@azdps.gov

Supplemental Radio/Interoperability Form

- For radio/communications-specific projects only
- Must answer detailed questions regarding your radio system
- Email it to your strategic planner upon submission of your application

Funding Parameters/Guidelines

- IB 407 Controlled Equipment List
 - <https://www.fema.gov/media-library/assets/documents/114557>
- Be as detailed as you can without naming brand names in your descriptions
- EHP B projects will add time to the execution of your project; plan for this in the milestones

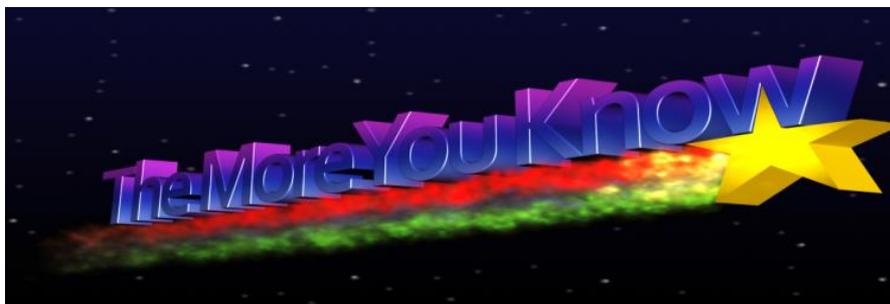
- 25% Law Enforcement Terrorism Prevention Activities (LETPA)
- If you have questions, ASK YOUR PLANNER
- **APPLICATIONS ARE DUE
FRIDAY, FEBRUARY 17,
2017**

FFY 2017 APPLICATION REVIEW



References

- **Applicants are responsible for reading and understanding the grant resource material**
- <https://www.fema.gov/preparedness-non-disaster-grants>
 - Includes links to:
 - Authorized Equipment List (AEL)
 - Information Bulletins
 - NOFOs (i.e. federal grant guidance)
- <https://azdohsgrants.az.gov/ffy-2017-grant-information>
 - Includes links to:
 - Regional Grant Funding Guidelines
 - SPR
 - Grant Information Seminar PPTs
 - Radio Questionnaire
 - SAFECOM Guidance



POP QUIZ



- What must your project align with?
 - At least one of the 32 Core Capabilities
- Name one of the four Factors Affecting Allowability of Costs that we check against?
 - Allowable, Allocable, Reasonable and Necessary
- Should you use brand names in your application?
 - No! However, you should be very detailed in your descriptions so there is no question as to what you are requesting.
- When are applications due?
 - Friday, February 17, 2017 by 5:00pm

Questions?

